

11/14/01



11054 U.S. PTO

11-26-01

JC973 U.S. PTO
09/993040
11/14/01

Vidas, Arrett & Steinkraus Utility Patent Application Transmittal	Atty. Docket No		N47.2-10241
	First Inventor		John C. Pederson et al.
	Title:	LED WARNING LIGHT AND COMMUNICATION SYSTEM	
	Express Mail Label No.		EV013261194
Application Elements	Address To:		Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form Pages 2 <input checked="" type="checkbox"/> Check Included			
2. <input checked="" type="checkbox"/> Applicant claims small entity status Pages 1			
3. <input checked="" type="checkbox"/> Specification (including pg cover sheet, 141pg description, 4pg claims and 1pg abstract) Pages 146			
4. <input checked="" type="checkbox"/> Drawings Pages 40			
5. <input checked="" type="checkbox"/> Oath or Declaration Pages 3 a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d) (for continuation/divisional with Box 19 completed) i. <input type="checkbox"/> Deletion of Inventor(s) – signed statement attached deleting inventors named in the prior application Pages			
6. <input checked="" type="checkbox"/> Application Data Sheet Pages 2			
7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents and check) Pages 6 <input checked="" type="checkbox"/> Previously recorded on Feb. 26, 2001, Reel 011569, Frames 0587			
8. <input checked="" type="checkbox"/> Power of Attorney Pages 1 <input type="checkbox"/> 37 C.F.R. 3.73(b) Statement (when there is an assignee) Pages			
9. <input type="checkbox"/> English Translation Document Pages			
10. <input type="checkbox"/> Information Disclosure Statement Pages <input type="checkbox"/> Copies of Citations (references)			
11. <input type="checkbox"/> Preliminary Amendment Pages			
12. <input checked="" type="checkbox"/> Return Receipt Postcard Pages 1			
13. <input type="checkbox"/> Certified Copy of Priority Document Pages			
14. <input type="checkbox"/> Nonpublication Request Pages			
15. <input checked="" type="checkbox"/> Constructive Petition Pages 1			
16. <input type="checkbox"/> Limited Authorization Pages			
17. <input checked="" type="checkbox"/> VAS Utility Patent Application Transmittal Pages 1			
18. <input checked="" type="checkbox"/> Other Pages 1			
19. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part Of prior application no. Prior Application Information: Examiner Group Art Unit For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.			
20. TOTAL NUMBER OF PAGES <u>205</u>			
21. CORRESPONDENCE ADDRESS 00490 PATENT, TRADEMARK OFFICE INSERT CUSTOMER NUMBER LABEL ABOVE			
Name Edwin E. Voigt, Reg. No. 36,042			
Signature		Date 11/14/2001	

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$536.00)

Complete if Known

Application Number Pending
Filing Date November 14, 2001
First Named Inventor John C. Pederson et al.
Examiner Name Not yet assigned
Group Art Unit Not yet assigned
Attorney Docket No. N47.2-10241

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:
Deposit Account Number 22-0350
Deposit Account Name Vidas, Arrett & Steinkraus

☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.
See 37 CFR 1.27

☒ Credit any overpayments to Deposit Account No. 22-0350
Deposit Account Name: Vidas, Arrett & Steinkraus

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	----
107	510	207	255	Plant filing fee	----
108	740	208	370	Reissue filing fee	----
114	160	214	80	Provisional filing fee	----

SUBTOTAL (1) \$ 370.00

2. EXTRA CLAIM FEES

Total Claims 34 - 20** = 14 X \$9.00 = 126.00
Independent Claims 1 - 3** = 0.00 X ---- = 0.00
Multiple Dependent Claims ---- = 0.00

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	----
102	84	202	42	Independent claims in excess of 3	----
104	280	204	140	Multiple dependent claim, if not paid	----
109	84	209	42	**Reissue independent claims over original patent	----
110	18	210	9	** Reissue claims in excess of 20 and over original patent	----

SUBTOTAL (2) \$ 126.00

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	----
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	----
139	130	139	130	Non-English specification	----
147	2,520	147	2,520	For filing a request for ex parte reexamination	----
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	----
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	----
115	110	215	55	Extension for reply within first month	----
116	400	216	200	Extension for reply within second month	----
117	920	217	460	Extension for reply within third month	----
118	1,440	218	720	Extension for reply within fourth month	----
128	1,960	228	980	Extension for reply within fifth month	----
119	320	219	160	Notice of Appeal	----
120	320	220	160	Filing of Appeal	----
121	280	221	140	Request for oral hearing	----
138	1,510	138	1,510	Petition to institute a public use proceeding	----
140	110	240	55	Petition to revive - unavoidable	----
141	1,280	241	640	Petition to revive - unintentional	----
142	1,280	242	640	Utility issue fee (or reissue)	----
143	460	243	230	Design issue fee	----
144	620	244	310	Plant issue fee	----
122	130	122	130	Petitions to the Commissioner	----
123	50	123	50	Processing fee under 37 CFR 1.17(q)	----
126	180	126	180	Submission of Information Disclosure Stmt	----
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	----
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	----
179	740	279	370	Request for Continued Examination (RCE)	----
169	900	169	900	Request for expedited examination of a design application	----

Other Fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)
(\$40.00)

Edwin E. Voigt, Reg. No. 36,042

Signature

Edwin E. Voigt, Jr., Esq.

Telephone 952-563-3000

Date November 14, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.